



PTO/SB/30 (09-03)

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|---|-----------------------------|-----------------------|
| <b>Request<br/>For<br/>Continued Examination (RCE)<br/>Transmittal</b><br><br>Address to:<br>MS RCE<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | <b>Application Number</b>   | 09/514,312            |
|   | <b>Filing Date</b>          | February 28, 2000     |
|   | <b>First Named Inventor</b> | Takahide Kasai        |
|   | <b>Art Unit</b>             | 1615                  |
|   | <b>Examiner Name</b>        | Liliana Di Nola Baron |
|   | <b>Attorney Docket No.</b>  | 31671-157328          |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☒ Declaration #1 Under 37 C.F.R. 1.132 (2 pages), with Figures 1, 2, and 3 (3 pages) attached; and Declaration #2 Under 37 C.F.R. 1.132 (4 pages).
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Copy of Kasai et al., "Application of acid-treated yeast cell wall (AYC) as a pharmaceutical additive," *International Journal of Pharmaceuticals* 204:53-59 (2000).
2. **Miscellaneous**
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 22-0261
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ One Month Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check number 602822 in the amount of \$770.00 and check number 603157 in the amount of \$110.00 enclosed.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                          |                      |  |              |
|--------------------------|----------------------|--|--------------|
| <b>Name (Print/Type)</b> | Nancy J. Axelrod     | <b>Registration No. (Attorney/Agent)</b> | 44,014       |
| <b>Signature</b>         | <i>Nancy Axelrod</i> | <b>Date</b>                              | May 26, 2004 |

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 1615  
Examiner: Dr. Liliana Di Nola-Baron

In Re PATENT APPLICATION OF

Applicants : Takahide KASAI et al. )  
Appln. No. : 09/514,312 )  
Conf. No. : 8281 )  
Filed : February 28, 2000 )  
For : COATED MATERIAL AND PROCESS )  
FOR PRODUCING THE COATED )  
MATERIAL (As amended) )  
Atty. Dkt. : 31671-157328 )

**REPLY**

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U.S. PATENT AND  
TRADEMARK OFFICE

**MAIL STOP RCE**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants have filed a request for continuing examination (RCE) in the above-referenced application. In response to the outstanding Office Action, dated August 26, 2003, in this application, please amend the application as indicated below and consider the remarks which follow.

**Amendments to the Specification** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 5 of this paper.

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